

22883

PATENT TRADEMARK OFFICE

Approved for use through 10/31/2002. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paper Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

06/01/03 to #4

**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/854,187	
	Filing Date	May 10, 2001	
	First Named Inventor	Kleiman	
	Group Art Unit	NYA	
	Examiner Name	NYA	
Total Number of Pages in This Submission	4+	Attorney Docket Number	103.1068.01

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter Other Enclosure(s) (please identify below): <input checked="" type="checkbox"/> PTO Form SB/08 Copies of Art References Return Postcard
Remarks		

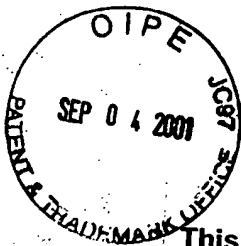
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Steven A. Swernofsky	Reg. no. 33,040
Signature		
Date	8/28/2001	

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: 8-29-01			
Type or printed name	Arlette Malhas, Paralegal		
Signature		Date	8-29-01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PATENT (IDS ONLY) POSTCARD

This confirms receipt of the following papers at the USPTO:

Docket No: 103.1068.01

Serial No: 09/854,187

1st Inventor: Kleiman

Filing Date: 5/10/2001

Title of Inv: File System Image Transfer

PTO Receipt Stamp

- ☒ Transmittal Form SB/21
☐ Fee Transmittal Form SB/17 (2 copies)
☐ Check in payment of fees - Check no: _____ Check Amnt: \$ _____
☒ Information Disclosure Statement
☐ 37 CFR 197(e) Statement
☒ PTO Form 1449 - 8 No. of pages
☒ PTO Form SB/08 - 25 No. of pages
☒ Copies of References
☐ Other

Source of References: JP's review of Master Prior Art List dated 8/23/01

Attorney: JP

PTO Customer No: 22883

Mailed By: AM

Mailing Date: 8-29-01



Swernofsky Law Group
P.O. Box 390013
Mountain View, CA 94039-0013



Attorney Docket 103.1068.01

#4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Kleiman

Art Unit: NYA

Serial No. 09/854,187

Examiner: NYA

Filed: 5/10/2001

For: File System Image Transfer

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail, in an envelope addressed to:

Assistant Commissioner for Patents
Washington, D.C. 20231

on 8-29-01 by Arlette Malhas
Date Arlette Malhas, Paralegal

INFORMATION DISCLOSURE STATEMENT

Honorable Assistant Commissioner
for Patents
Washington, D.C. 20231

Dear Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:
☐ Statement under 37 CFR 1.97(e) OR
☐ a \$180.00 fee under 37 CFR 1.17(p)
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with a:
☐ Statement under 37 CFR 1.97(e) AND
☐ a \$180.00 petition fee set forth in 37 CFR 1.17(i)(1).



(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

- ☒ Applicant(s) submit herewith Form PTO SB/08-Information Disclosure Statement by Applicant listing documents of which applicant(s) believe(s) might be material to the examination of this application and for which there might be a duty to disclose in accordance with 37 CFR 1.56.
- ☒ Applicant(s) submits herewith copies of documents listed in the attached Form PTO SB/08.
- ☒ Copies of documents listed in the attached Form PTO SB/08 *and 1449* were previously submitted in the following application:

Docket No.: 103.1010.01
Serial No.: 09/127,497
Filed: 7/31/1998

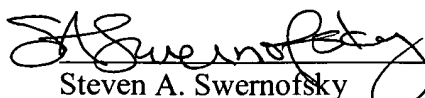
THE EXAMINER IS ENCOURAGED AND EXHORTED TO MAKE HIS OR HER OWN INDEPENDENT EVALUATION OF POSSIBLE RELEVANCE OF THE SUBMITTED DOCUMENTS.

At the present time, Applicant submits that the above documents, taken alone or in combination, neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

Date: 8/28/01


Steven A. Swernofsky
Reg. No. 33,040

Swernofsky Law Group
P.O. Box 390013
Mountain View, CA 94039-0013
(650) 947-0700